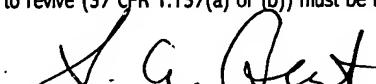


TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 076333-0417 U.S. APPLICATION NO. (If filed under 35 U.S.C. 371) 10/566946 Unassigned
VOLUME 1 INTERNATIONAL APPLICATION NO. PCT/US2004/023499 INTERNATIONAL FILING DATE 07/22/2004 PRIORITY DATE CLAIMED 08/06/2003		
TITLE OF INVENTION SURFACE PLASMON-ENHANCED NANO-OPTIC DEVICES AND METHODS OF MAKING SAME		
APPLICANT(S) FOR DO/EO/US Hong Koo KIM, Zhijun SUN & Yun Suk JUNG		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/>	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.	
2. <input type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.	
3. <input type="checkbox"/>	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.	
4. <input type="checkbox"/>	The US has been elected (Article 31).	
5. <input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371(c)(2))	
	<input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).	
	<input type="checkbox"/> has been communicated by the International Bureau.	
	<input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)	
6. <input type="checkbox"/>	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).	
	<input type="checkbox"/> is attached hereto.	
	<input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).	
7. <input checked="" type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))	
	<input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).	
	<input type="checkbox"/> have been communicated by the International Bureau.	
	<input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.	
	<input checked="" type="checkbox"/> have not been made and will not be made.	
8. <input type="checkbox"/>	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	
9. <input type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10. <input type="checkbox"/>	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	
Items 11 to 20 below concern other document(s) or information included:		
11. <input checked="" type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
12. <input type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13. <input checked="" type="checkbox"/>	A preliminary amendment.	
14. <input checked="" type="checkbox"/>	An Application Data Sheet under 37 CFR 1.76.	
15. <input type="checkbox"/>	A substitute specification.	
16. <input type="checkbox"/>	A power of attorney and/or change of address letter.	
17. <input type="checkbox"/>	A computer-readable form of the sequence listing in accordance with PCT Rule 13 ^{ter} 2 and 37 CFR 1.821 – 1.825	
18. <input type="checkbox"/>	A second copy of the published international application under 35 U.S.C. 154(d)(4).	
19. <input type="checkbox"/>	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).	
20. <input checked="" type="checkbox"/>	Other items or information: Form PCT/ISA/210 & Assertion of Small Entity Status	

IAP20 Rec'd PCT/PTO 03 FEB 2006

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER	
Unassured 10/566946	PCT/US2004/023499	076333-0417	
The following fees have been submitted:			
21. <input checked="" type="checkbox"/> Basic national fee		\$300	\$ 300.00
22. <input checked="" type="checkbox"/> Examination fee			
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)		\$100	\$ 200.00
All other situations		\$200	
23. <input checked="" type="checkbox"/> Search fee			
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority		\$100	
International Search Report prepared and provided to the Office		\$400	
All other situations		\$500	\$ 100.00
TOTAL OF ABOVE 21, 22 and 23 =			\$ 600.00
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.			
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE
152 - 100 =	52 /50 =	2	\$ 250.00
\$ 500.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))			\$ 130.00
CLAIMS		NUMBER FILED	NUMBER EXTRA
Total Claims		23 - 20 =	3
Independent Claims		3 - 3 =	0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 360.00
			\$ 1380.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.		+	\$ 690.00
		SUBTOTAL =	\$ 690.00
Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$
TOTAL NATIONAL FEE =		\$ 690.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property			\$
TOTAL FEES ENCLOSED =		\$ 690.00	
		Amount to be refunded:	
		charged:	
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$690.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of \$690.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charge to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.			
SEND ALL CORRESPONDENCE TO:			
Foley & Lardner LLP Customer Number: 22428		 SIGNATURE Stephen A. Bent NAME 29,768 REGISTRATION NUMBER Time: February 3, 2006	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hong Koo KIM et al.
Title: SURFACE PLASMON-ENHANCED NANO-OPTIC DEVICES
AND METHODS OF MAKING SAME
Int'l. Appl. No.: PCT/US2004/023499
Int'l. Filing Date: 7/22/2004
Examiner: Unassigned
Art Unit: Unassigned

ASSERTION OF SMALL ENTITY STATUS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.27(c)(1), Applicants hereby assert entitlement to small entity status in the above-identified application.

Respectfully submitted,

Date 3 February 2006

By S. A. Bent

FOLEY & LARDNER LLP
Customer Number: 22428
Telephone: (202) 672-5404
Facsimile: (202) 672-5399

Stephen A. Bent
Attorney for Applicant
Registration No. 29,768